

02-25-02

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16553/12

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PTO/SB/05 (03-02)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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02/22/02
JACOB
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PTO971009136
02/22/02

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	16553/12
First Inventor	Ivan W.K. Shum
Title	CAMERA FILM CASSETTE ASSEMBLY APPARATUS AND METHOD
Express Mail Label No.	EI752242854US

APPLICATION ELEMENTS		Commissioner for Patents	
See MPEP chapter 600 concerning utility patent application contents.			
1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input type="checkbox"/> Application claims small entity status. <i>See 37 CFR 1.27</i> 3. <input checked="" type="checkbox"/> Specification [Total Pages 24] <i>(Preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure 		ADDRESS TO: Box Patent Application Washington, DC 20231	
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 9] 5. Oath or Declaration FOR PURPOSE OF INVENTOR IDENTIFICATION ONLY [Total Pages 2] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <i>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</i>		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission <i>(If applicable, all necessary)</i> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies 	
ACCOMPANYING APPLICATION PARTS			
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input type="checkbox"/> Power of Attorney 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS <i>Citations</i> 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(If foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 <i>(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</i> 17. <input checked="" type="checkbox"/> Other: <u>Certificate of express mail</u>			

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____ /

Prior application information:

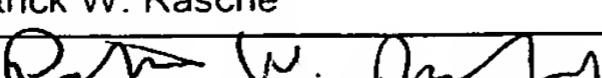
Examiner:

Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label		(Insert Custom No. or Attach bar code label here)		or <input checked="" type="checkbox"/> Correspondence address below	
Name	John S. Beulick				
Address	Armstrong Teasdale LLP				
	One Metropolitan Sq., Suite 2600				
City	St. Louis	State	Missouri	Zip Code	63102
Country	US	Telephone	(314) 621-5070		Fax (314) 621-5065

Name (Print/type)	Patrick W. Rasche		Registration No. (Attorney/Agent)	37,916
Signature			Date	February 22, 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ivan W.K. Shum : Art Unit:
Serial No.: : Examiner:
Filed: February 22, 2002 :
For: CAMERA FILM CASSETTE :
ASSEMBLY APPARATUS AND :
METHOD :

**CERTIFICATE OF MAILING BY EXPRESS MAIL TO
THE COMMISSIONER OF PATENTS AND TRADEMARKS**

Express Mail mailing label number: EL752242854US

Date of Mailing: February 22, 2002

I certify that documents listed below:

- Utility Patent Application Transmittal (1 pg.)
- Utility Patent Application
 - Specification (17 pgs.)
 - Claims (6 pgs.)
 - Abstract (1 pg.)
- Nine (9) sheets of Drawings
- Declaration/Power of Attorney (For Purpose of Inventor Identification only 2 pgs.)
- Certificate of Mailing Via Express Mail (1 pg.)
- Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to the Assistant Commissioner for Patents, Box Utility Patent Application, Washington, D.C. 20231.

Patricia W. Parker

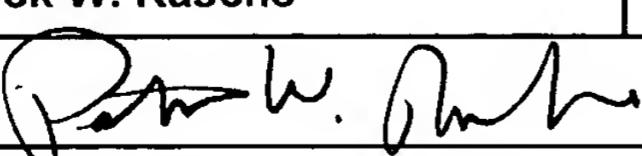
Patrick W. Rasche, Reg. No.: 37,916
Armstrong Teasdale LLP
One Metropolitan Square, Suite 2600
St. Louis, MO 63102
314-621-5070

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FEETRANSMITTAL for FY 2002 MAY 15 2002 <small>Patent fees are subject to annual revision.</small>		Complete If Known	
		Application Number	10/081,136
		Filing Date	February 22, 2002
		First Named Inventor	Ivan W. K. Shum, et al.
		Group Art Unit	
Examiner Name			
TOTAL AMOUNT OF PAYMENT	(\$) 1,492.00	Attorney Docket Number	16553/12

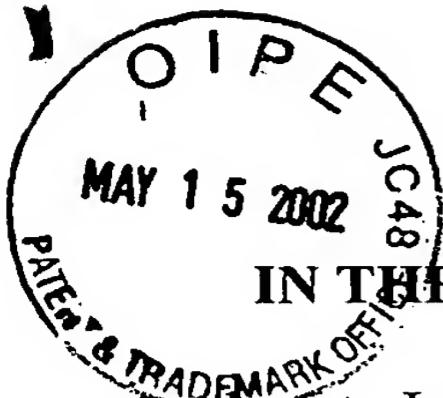
METHOD OF PAYMENT (check one)				FEES CALCULATION (continued)																																																																																																																											
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 01-2384 Deposit Account Name: _____				3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65 Surcharge - late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25 Surcharge-late provisional filing fee or cover sheet</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130 Non-English specification</td></tr> <tr><td>147</td><td>2520</td><td>147</td><td>2520 For filing a request for <i>ex parte</i> reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920* Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1840*</td><td>113</td><td>1840* Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55 Extension for reply within first month</td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200 Extension for reply within second Month</td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460 Extension for reply within third month</td></tr> <tr><td>118</td><td>1440</td><td>218</td><td>720 Extension for reply within fourth month</td></tr> <tr><td>128</td><td>1960</td><td>228</td><td>980 Extension for reply within fifth month</td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160 Notice of Appeal</td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160 Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140 Request for oral hearing</td></tr> <tr><td>138</td><td>1510</td><td>138</td><td>1510 Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55 Petition to revive - unavoidable</td></tr> <tr><td>141</td><td>1280</td><td>241</td><td>640 Petition to revive - unintentional</td></tr> <tr><td>142</td><td>1280</td><td>242</td><td>640 Utility issue fee (or reissue)</td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230 Design issue fee</td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310 Plant issue fee</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130 Petitions to the Commissioner</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50 Petitions related to provisional applications</td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180 Submission of Information Disclosure Stmt</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40 Recording each patent assignment per property (times number of properties)</td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370 Filing a submission after a final rejection (37-CFR 1.129(a))</td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370 For each additional invention to be examined (37 CFR 1.129(b))</td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370 Request for Continued Examination (RCE)</td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900 Request for expedited examination of a design application</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> </tbody> </table>				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205	65 Surcharge - late filing fee or oath	127	50	227	25 Surcharge-late provisional filing fee or cover sheet	139	130	139	130 Non-English specification	147	2520	147	2520 For filing a request for <i>ex parte</i> reexamination	112	920*	112	920* Requesting publication of SIR prior to Examiner action	113	1840*	113	1840* Requesting publication of SIR after Examiner action	115	110	215	55 Extension for reply within first month	116	400	216	200 Extension for reply within second Month	117	920	217	460 Extension for reply within third month	118	1440	218	720 Extension for reply within fourth month	128	1960	228	980 Extension for reply within fifth month	119	320	219	160 Notice of Appeal	120	320	220	160 Filing a brief in support of an appeal	121	280	221	140 Request for oral hearing	138	1510	138	1510 Petition to institute a public use proceeding	140	110	240	55 Petition to revive - unavoidable	141	1280	241	640 Petition to revive - unintentional	142	1280	242	640 Utility issue fee (or reissue)	143	460	243	230 Design issue fee	144	620	244	310 Plant issue fee	122	130	122	130 Petitions to the Commissioner	123	50	123	50 Petitions related to provisional applications	126	180	126	180 Submission of Information Disclosure Stmt	581	40	581	40 Recording each patent assignment per property (times number of properties)	146	740	246	370 Filing a submission after a final rejection (37-CFR 1.129(a))	149	740	249	370 For each additional invention to be examined (37 CFR 1.129(b))	179	740	279	370 Request for Continued Examination (RCE)	169	900	169	900 Request for expedited examination of a design application	Other fee (specify) _____			
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**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Patrick W. Rasche	Registration No. (Attorney/Agent)	37,916	Telephone	(314) 621-5070
Signature				Date	May 15, 2002

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05-16-02

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PATENT
Atty. Dkt. No. 16553/12

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ivan W.K. Shum, et al.

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: Art Unit:

Serial No.: 10/081,136

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: Examiner:

Filed: February 22, 2002

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For: CAMERA FILM CASSETTE ASSEMBLY
APPARATUS AND METHOD

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:

**CERTIFICATE OF MAILING BY EXPRESS MAIL TO
THE COMMISSIONER OF PATENTS AND TRADEMARKS**

Express Mail mailing label number: EL752245382US

Date of Mailing: May 15, 2002

I certify that documents listed below:

- Utility Patent Application Fee Transmittal (1 pg. in duplicate)
- Response to Notice to file Missing Parts (1 pg. in duplicate)
- Notice to file Missing Parts of Non-provisional Application. (2 pgs.)
- Twelve (12) sheets of Formal Drawings
- Certificate of Express Mail (1 pg.)
- Recordation of Assignment (1 pg. in duplicate & Assignment document of I. Shum and R. Kohl 1 pg.)
- Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to the Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231.

Patrick W. Rasche, Reg. No.: 37,916
Armstrong Teasdale LLP
One Metropolitan Square, Suite 2600
St. Louis, MO 63102
314-621-5070

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Applicant: Ivan W.K. Shum, et al. :
Serial No.: 10/081,136 : Art Unit:
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For: CAMERA FILM CASSETTE :
ASSEMBLY APPARATUS AND :
METHOD :
:

RESPONSE TO NOTICE TO FILE MISSING PARTS

Attention: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application (copy enclosed) of **Ivan W.K. Shum, et al.**, **Application No.: 10/081,136** dated March 15, 2002, enclosed are a fully executed Oath/Declaration Power of Attorney.

Additionally, in response to Notice to File Missing Parts, enclosed are twelve (12) sheets of Formal Drawings.

Respectfully submitted,

Patrick W. Rasche, Reg. No. 37,916
ARMSTRONG TEASDALE LLP
One Metropolitan Square, Suite 2600
St. Louis, Missouri 63102-2740
(314) 621-5070